

## 2017 OneChicago Rule Filings

Filing Date	Title	Agency	Status	Effective Date
12/13/17	<a href="#">OneChicago Submission 17-024 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-15</a>	CFTC	Effective	12/28/17
11/09/17	<a href="#">OneChicago Submission 17-023 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-14</a>	CFTC	Effective	11/27/17
10/26/17	<a href="#">OneChicago Submission 17-022 – Amending OneChicago Rule 404 (Acceptable Orders)</a>	CFTC	Effective	11/09/17
10/18/17	<a href="#">OneChicago Submission 17-021 – Amending OneChicago Rules 117 (Clearing Corporation) and 144 (OneChicago Workstation) to Remove References to CME and CBOE</a>	CFTC	Effective	11/01/17
10/12/17	<a href="#">OneChicago Submission 17-020 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-13</a>	CFTC	Effective	10/26/17
09/11/17	<a href="#">OneChicago Submission 17-019 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-12</a>	CFTC	Effective	09/25/17
09/05/17	<a href="#">OneChicago Submission 17-018 – Issuing Regulatory Release 2017-11 (Large Trader Reporting Guide)</a>	CFTC	Effective	10/02/17
08/29/17	<a href="#">OneChicago Submission 17-017 – Amending OneChicago Rule 902 (Contract Specifications) to Reflect the Updated Securities Transaction Settlement Cycle</a>	CFTC	Effective	09/13/17
08/17/17	<a href="#">OneChicago Submission 17-016 – Issuing Regulatory Release 2017-10 (Large Trader Reporting Guide)</a>	CFTC	Effective	10/02/17
08/03/17	<a href="#">OneChicago Submission 17-015 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-9</a>	CFTC	Effective	08/17/17
08/01/17	<a href="#">OneChicago Submission 17-014 – Amending OneChicago Rule 617 (Disruptive Practices)</a>	CFTC	Effective	08/15/17
07/17/17	<a href="#">OneChicago Submission 17-013 – Issuing Regulatory Release 2017-8 (OneChicago Ownership and Control Reports)</a>	CFTC	Effective	07/31/17

07/07/17	<a href="#">OneChicago Submission 17-012 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-7</a>	CFTC	Effective	07/21/17
06/16/17	<a href="#">OneChicago Submission 17-011 – Amending OneChicago Rule 902 (Contract Specifications)</a>	CFTC	Effective	07/10/17
06/09/17	<a href="#">OneChicago Submission 17-010 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-6</a>	CFTC	Effective	06/23/17
06/06/17	<a href="#">OneChicago Submission 17-009 – Amending Appendix B to Chapter 9 of the OneChicago Rulebook</a>	CFTC	Effective	06/20/17
05/10/17	<a href="#">OneChicago Submission 17-008 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-5</a>	CFTC	Effective	05/24/17
04/11/17	<a href="#">OneChicago Submission 17-007 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-4</a>	CFTC	Effective	04/25/17
03/15/17	<a href="#">OCX Submission Number 17-006 – Issuing NTM 2017-12 (OneChicago Execution Fee Applied to CMTA Firm)</a>	CFTC	Effective	04/03/17
03/09/17	<a href="#">OCX Submission Number 17-005 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-3</a>	CFTC	Effective	03/23/17
02/06/17	<a href="#">OCX Submission Number 17-004 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-2</a>	CFTC	Effective	02/21/17
02/02/17	<a href="#">OCX Submission Number 17-003 – Issuing NTM 2017-8 (Implementing Delivery Fees &amp; Tiered Carry Fees)</a>	CFTC	Effective	03/01/17
01/17/17	<a href="#">OCX Submission Number 17-002 – Amending OCX Rule 601 (Fraudulent Acts)</a>	CFTC/SEC	Effective	01/31/17
01/06/17	<a href="#">OCX Submission Number 17-001 – Amending Position Limits for Certain Security Futures Products and Issuing Regulatory Release 2017-1</a>	CFTC	Effective	01/23/17